

**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective October 1, 2000

**CLAIMS AS FILED - PART I**

(Column 1)

(Column 2)

|   |               |              |
|---|---------------|--------------|
| TOTAL CLAIMS  | 19            |              |
| FOR   | NUMBER FILED  | NUMBER EXTRA |
| TOTAL CHARGEABLE CLAIMS                                   | 19 minus 20 = | 0            |
| INDEPENDENT CLAIMS  | 6 minus 3 =   | 3            |
| MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/> |               |              |

SMALL ENTITY  
TYPE ☐OR OTHER THAN  
SMALL ENTITY

|           |        |
|-----------|--------|
| RATE      | FEE    |
| BASIC FEE | 355.00 |
| X\$ 9=    |        |
| X40=      | 120    |
| +135=     |        |
| TOTAL     | 475    |

|           |        |
|-----------|--------|
| RATE      | FEE    |
| BASIC FEE | 710.00 |
| X\$18=    |        |
| X80=      |        |
| +270=     |        |
| TOTAL     |        |

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1)

(Column 2)

(Column 3)

|             |   |   |                                    |               |
|-------------|---|---|------------------------------------|---------------|
| AMENDMENT A | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|             | Total   | * | Minus                              | **            |
|             | Independent   | * | Minus                              | ***           |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$18=           |                |
| X80=             |                |
| +270=            |                |
| TOTAL ADDIT. FEE |                |

(Column 1)

(Column 2)

(Column 3)

|             |   |   |                                    |               |
|-------------|---|---|------------------------------------|---------------|
| AMENDMENT B | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|             | Total   | * | Minus                              | **            |
|             | Independent   | * | Minus                              | ***           |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$18=           |                |
| X80=             |                |
| +270=            |                |
| TOTAL ADDIT. FEE |                |

(Column 1)

(Column 2)

(Column 3)

|             |   |   |                                    |               |
|-------------|---|---|------------------------------------|---------------|
| AMENDMENT C | CLAIMS REMAINING AFTER AMENDMENT  |   | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|             | Total   | * | Minus                              | **            |
|             | Independent   | * | Minus                              | ***           |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |   |                                    |               |

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

|                  |                |
|------------------|----------------|
| RATE             | ADDITIONAL FEE |
| X\$18=           |                |
| X80=             |                |
| +270=            |                |
| TOTAL ADDIT. FEE |                |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

X-9332

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| FOR   | NUMBER FILED  | NUMBER EXTRA |
|---|---------------|--------------|
| BASIC FEE<br>(37 CFR 1.16(a))                     |               |              |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                  | 19 minus 20 = | * 0          |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))            | 5 minus 3 =   | * 2          |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) |               |              |

| RATE   | FEE    |
|--------|--------|
|        | \$ 355 |
| x \$ = | 0      |
| x 40 = | 80.0   |
| + =    | 0      |
| TOTAL  | 435.   |

| RATE   | FEE |
|--------|-----|
|        | \$  |
| x \$ = |     |
| x =    |     |
| + =    |     |
| TOTAL  |     |

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| AMENDMENT A |   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA |
|-------------|---|---|-------|---|------------------|
|             | Total<br>(37 CFR 1.16(c))                                       | *   | Minus | **  | =                |
|             | Independent<br>(37 CFR 1.16(b))                                 | *   | Minus | ***   | =                |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |       |   |                  |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

(Column 1)

(Column 2)

(Column 3)

TOTAL  
ADDIT. FEE

OR

TOTAL  
ADDIT. FEE

| AMENDMENT B |   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA |
|-------------|---|---|-------|---|------------------|
|             | Total<br>(37 CFR 1.16(c))                                       | *   | Minus | **  | =                |
|             | Independent<br>(37 CFR 1.16(b))                                 | *   | Minus | ***   | =                |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |       |   |                  |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

(Column 1)

(Column 2)

(Column 3)

TOTAL  
ADDIT. FEE

OR

TOTAL  
ADDIT. FEE

| AMENDMENT C |   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA |
|-------------|---|---|-------|---|------------------|
|             | Total<br>(37 CFR 1.16(c))                                       | *   | Minus | **  | =                |
|             | Independent<br>(37 CFR 1.16(b))                                 | *   | Minus | ***   | =                |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |       |   |                  |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

| RATE   | ADDI-<br>TIONAL<br>FEE |
|--------|------------------------|
| x \$ = |                        |
| x =    |                        |
| + =    |                        |
| TOTAL  |                        |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case.  
Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.